# Quantum Key Distribution

Fernando Javier Lopez Cerezo

## 1 Overview

Cryptographic schemes have historically depended on assumptions about an adversary's limited computational power, a concept known as *computational security*. For example, RSA and Diffie-Hellman rely on the difficulty of factoring large integers and computing discrete logarithms, respectively. However, these problems are not insurmountable, and cryptographic systems based on such assumptions could eventually be broken with sufficient computational resources or algorithmic breakthroughs. The advent of quantum computing, particularly Shor's algorithm, has raised concerns about the long-term security of current cryptographic protocols, leading to the development of *post-quantum cryptography* as a potential solution.

An alternative solution cryptographers have sought are *provably secure* schemes, immune to even adversaries with unlimited computational power. These are known as *information-theoretic security* schemes. Quantum Key Distribution (QKD) represents a major step forward in this area. QKD uses quantum mechanics to enable the secure distribution of cryptographic keys, with formal guarantees—any eavesdropping attempt on the quantum channel introduces detectable disturbances.

The remainder of this paper is organized as follows: Section 2 introduces the framework of QKD protocols, detailing the quantum transmission and classical post-processing steps. Section 3 discusses the theoretical and practical security challenges faced by QKD systems. Section 4 examines implementation challenges, including distance limitations and key rate constraints. Section 5 explores advanced QKD protocols, such as entanglement-based, continuous-variable, and device-independent QKD, along with emerging solutions like satellite-based QKD. Finally, Section 6 provides a concise conclusion summarizing the key insights and future directions for QKD research.

# 2 QKD Protocol Framework

Typical QKD protocols encode classical bits into qubits, which are two-level quantum systems. Qubits are often realized using the polarization degree of

photons. The setup involves two authorized parties, Alice and Bob, who aim to establish a secret key over a distance. They have access to two channels:

- Classical channel: Alice and Bob use this channel to send classical messages. It must be authenticated, ensuring that Alice and Bob can identify each other. While an adversary (Eve) can eavesdrop, she cannot alter the messages.
- Quantum channel: This channel allows Alice and Bob to transmit quantum signals, which are completely insecure. The adversary can manipulate the information as allowed by quantum mechanics.

The protocol consists of two parts:

- 1. **Quantum transmission:** Alice and Bob prepare, send, and measure quantum states.
- 2. Classical post-processing: Alice and Bob use the classical channel to convert the bit strings obtained in the quantum phase into a secure key.

### 2.1 Quantum Transmission

The quantum transmission phase involves all operations performed on quantum states, including the encoding and decoding of classical bits into quantum states and their transmission over a quantum channel. The steps are as follows:

- 1. Alice's preparation: Alice selects a string of N random classical bits  $X_1, X_2, \ldots, X_N$ .
- 2. Choice of basis: Alice randomly chooses a sequence of polarization bases for encoding the bits. They should all be mutually unbiased (i.e. measuring a qubit prepared in one basis using a different basis gives completely random results).
- 3. Encoding: Alice encodes the bit string into a series of photons with polarization corresponding to the chosen bases (e.g. choose one of the two orthogonal states of each basis depending on the bit value)
- 4. **Bob's measurement:** Upon receiving the photons, Bob randomly chooses, independently of Alice, which basis to measure each photon in. This results in classical bits  $Y_1, Y_2, \ldots, Y_N$  for Bob.
- 5. Raw key generation: After this step, both Alice and Bob have classical bit strings: Alice has  $X = (X_1, X_2, \ldots, X_N)$  and Bob has  $Y = (Y_1, Y_2, \ldots, Y_N)$ , which together form the raw key pair.

### 2.2 Classical post-processing

The rest of the protocol is purely classical. Alice and Bob exchange a sequence of classical information to transform the bit strings they hold into a shared secret key.

### 2.2.1 Sifting

Alice publicly announces her basis choices, and Bob compares them with his. They discard all bits where the bases don't match, keeping only those where both Alice and Bob used the same basis. These remaining bits are ideally identical, as Bob's measurements align with Alice's preparations. Importantly, Alice does not announce her basis before Bob confirms receipt of the states, preventing eavesdropper Eve from gaining any advantage by knowing the basis choices in advance. This process results in both Alice and Bob holding nearly identical strings, with about half of the bits being retained after this step.

#### 2.2.2 Parameter Estimation

In the parameter estimation step, Alice and Bob estimate the error rate in their key by revealing a small sample of their bits. Bob randomly selects some bits from his key and sends them to Alice for comparison. If the bits match, it indicates no eavesdropping, but if the error rate is too high, it suggests the presence of an eavesdropper, and the protocol is aborted. Any revealed bits are discarded afterward since they are now public. The estimation of the error rate for the full bit string is possible due to statistical results, such as Serfling's Inequality [27].

#### 2.2.3 Error Correction

After passing the parameter estimation step, Alice and Bob proceed to correct errors in their bit strings. While the goal is to make both strings identical, it's typically easier for Bob to adjust his string to match Alice's, a process known as information reconciliation. Efficient classical error correction codes, which have been well-studied, guide this process and determine the amount of communication needed for Bob to find and correct the errors. Some of the most common in QKD are the Cascade protocol [4] [20] and Low-Density Parity-Check codes [10] [21].

To verify successful error correction, Alice randomly selects a secure hash function, applies it to her bit string, and sends both the function and the hash result to Bob. Bob applies the same function to his key and compares the result with Alice's. If the hashes match, their keys are likely identical; if not, they abort the protocol.

#### 2.2.4 Privacy Amplification

To ensure that Eve gains no knowledge of the final key, Alice and Bob use randomness extractors, specifically quantum-proof strong randomness extractors [15] like two-universal hash functions [25]. Alice applies a random function from a chosen family of hash functions to her key and sends the function and its output to Bob. Bob applies the same function to his key. The extractor ensures that the output is almost uniformly random and independent of the seed. This final key, after the privacy amplification step, is nearly independent of Eve's knowledge and is close to a perfectly random string. We can formulate an upper bound on the length of the resulting key by making use of the Quantum Leftover Hash Lemma [24]

After completing the privacy amplification step, Alice and Bob have two identical bit strings that are nearly uniformly random, and Eve has minimal knowledge of the key. These conditions ensure they possess a secure key suitable for cryptographic use.

# 3 Security Challenges: Theoretical and Practical

Modern security proofs for QKD are built on rigorous frameworks from information theory. They make extensive use of concepts such as entropy, mutual information [6], and their extensions into quantum information theory [30]. Security in QKD relies on a set of foundational assumptions [1] [26], notably the correctness and completeness of quantum mechanics and the ability for authenticated communication. While theoretical models often assume ideal conditions—such as perfect isolation, precise state preparation, and accurate measurements—real-world implementations deviate due to imperfections in devices and setups. These discrepancies must be carefully modeled to ensure that the security proof reflects practical realities. While important tools like the Devetak–Winter rate [8] are available to quantify secure key rates, they apply only in the asymptotic limit of infinitely many rounds, making the analysis of finite-key scenarios significantly more difficult. Nonetheless, proving the security of QKD protocols for finite-size keys is essential in practice, as generating large volumes of secure key bits is often resource-intensive.

Side-channel attacks exploit practical imperfections in QKD devices to extract key information without detection. Photon-number-splitting (PNS) attacks [14] target multi-photon pulses, allowing Eve to intercept a photon without disturbing the system. Countermeasures like decoy state strategies [28] help detect such attacks by comparing expected and observed losses. Detector-based vulnerabilities include the time-shift attack [31], exploiting efficiency mismatches between detectors, and detector blinding [19], where strong light disables single-photon detection, letting Eve control the output. Additional attacks include exploiting detector dead times [29] or Trojan horse attacks [11], where bright light is used to probe internal device settings. These threats underline the importance of comprehensive security modeling that includes potential side-channel vulnerabilities.

### 4 Implementation Challenges

Implementing quantum key distribution (QKD) in practice presents several critical challenges that determine its feasibility for real-world applications [9]. One major limitation is distance, primarily due to photon loss in optical fibers and free-space channels, which restricts the range over which secure keys can be distributed. Equally important is the achievable key rate, as QKD must generate keys at sufficiently high speeds to be practical—currently reaching the Mbit/s range, while classical systems operate at 100 Gbit/s. The performance heavily depends on detector efficiency and dead time, highlighting the need for advanced photonic technologies.

Security remains a fundamental concern, requiring composable proofs that account for general attacks, finite-size effects, and side-channel vulnerabilities, particularly in detectors. Additionally, efficient classical post-processing methods are essential to handle large data blocks generated during key distillation. From a commercial perspective, cost-effectiveness is crucial, influenced by factors such as system cooling requirements and compatibility with existing optical fiber infrastructure, where coexistence with high-speed data traffic must be ensured. Addressing these challenges—distance, key rate, security, and cost—is vital for QKD to transition from experimental setups to widespread deployment, bridging the gap between quantum-secure communication and classical high-speed networks.

## 5 Advanced QKD Protocols

### 5.1 Entanglement-Based Protocols

In entanglement-based quantum key distribution, a (possibly untrusted) source distributes entangled qubit pairs to Alice and Bob, who then measure them using randomly chosen settings. When their settings match, their results are perfectly anti-correlated and form the sifted key after one party flips their bits. Non-matching results are used to test for eavesdropping via violation of the CHSH inequality—a sign of maximal entanglement [5]. A strong violation means Eve has no knowledge of the key; weaker violations allow for partial security [7]. If the test is passed, Alice and Bob perform error correction and privacy amplification to obtain a secure final key.

### 5.2 Continuous-Variable QKD

Continuous-variable (CV) QKD is an alternative to discrete-variable (DV) QKD, using properties like amplitude and phase of light rather than qubits [13]. It gained attention in the 2000s due to its practicality—states like coherent or squeezed light and measurements like homodyne detection are directly implementable, unlike ideal single-photon sources in DV QKD. In CV QKD, information is encoded in quantized electromagnetic field modes ("qumodes") using Gaussian modulation and decoded with Gaussian measurements. Protocols vary in state preparation, modulation type, detection method, and post-processing strategy.

CV QKD can be described in prepare-and-measure (PM) or entanglement-based (EB) versions, which are equivalent for Gaussian protocols [12]. While CV QKD benefits from compatibility with existing telecom tech and simpler state preparation, it faces theoretical challenges, such as proving the security of the protocols, and practical issues, like lower noise robustness.

### 5.3 Device-Independent QKD

DIQKD ensures security without trusting the devices used by relying on the violation of a Bell inequality [2], like CHSH, to certify maximal entanglement. This approach avoids assumptions about device behavior, addressing real-world issues like photon-number-splitting attacks. For the Bell test to be valid and loophole-free [3][22], two conditions must be met: no information should be shared between parties before outputs are generated, and detection efficiency must be high. If these are not satisfied, the test may allow for a classical explanation, undermining the security proof.

#### 5.3.1 Measurement Device-Independent QKD

Measurement Device-Independent QKD (MDI QKD) eliminates detector sidechannel attacks by moving all detectors to an untrusted relay [17]. Alice and Bob only send quantum states, avoiding vulnerabilities like time-shift, blinding, and Trojan horse attacks. Even if Eve controls the relay, a secure key can still be established using techniques like Bell measurements. MDI QKD offers strong security and improved long-distance performance over traditional QKD.

### 5.4 Twin-Field QKD

MDI QKD prevents detector attacks but still faces the fundamental rate-loss limit (PLOB bound [23]) due to channel loss. Quantum repeaters could help but require tech not yet available. TF QKD, introduced in 2018 [18], overcomes this by using single-photon detection at an untrusted relay, boosting key rates without needing both photons to arrive as in MDI QKD.

### 5.5 Satellite-Based QKD

A promising solution to overcome the distance limitations of terrestrial quantum key distribution (QKD) is the use of low-Earth-orbit (LEO) satellites, which experience significantly lower transmission losses compared to ground-based channels. In 2017, pioneering experiments by Chinese researchers demonstrated the feasibility of satellite-to-ground QKD, with one team achieving secure key dis-

tribution over 1,200 km between the Micius satellite and a ground station in China at an average rate of 1 kbit/s [16].

### 6 Conclusions

Quantum Key Distribution represents a groundbreaking advancement in secure communication, leveraging the principles of quantum mechanics to achieve information-theoretic security. While theoretical frameworks for QKD are wellestablished, practical implementations face significant challenges, including hardware imperfections, limited range, and susceptibility to side-channel attacks. Advanced protocols like measurement-device-independent QKD and satellitebased QKD offer promising avenues to address these limitations, pushing the boundaries of secure key distribution over long distances.

Despite its potential, QKD is not yet a standalone solution for the post-quantum era. Current recommendations emphasize a hybrid approach, combining postquantum cryptographic algorithms with QKD where feasible. Future research must focus on quantum repeaters to extend range, high-efficiency single-photon detectors to improve throughput, and cost-effective implementations for realworld adoption. Standardization efforts and refined finite-key security analyses will further strengthen practical deployments.

# References

- Normand J. Beaudry. Assumptions in Quantum Cryptography. PhD thesis, ETH Zurich, 2014.
- [2] J. S. Bell. On the einstein podolsky rosen paradox. *Physics Physique Fizika*, 1:195–200, Nov 1964.
- [3] J. S. Bell and Alain Aspect. Speakable and Unspeakable in Quantum Mechanics: Collected Papers on Quantum Philosophy. Cambridge University Press, 2 edition, 2004.
- [4] Gilles Brassard and Louis Salvail. Secret-key reconciliation by public discussion. In Tor Helleseth, editor, Advances in Cryptology — EUROCRYPT '93, pages 410–423, Berlin, Heidelberg, 1994. Springer Berlin Heidelberg.
- [5] John F. Clauser, Michael A. Horne, Abner Shimony, and Richard A. Holt. Proposed experiment to test local hidden-variable theories. *Phys. Rev. Lett.*, 23:880–884, Oct 1969.
- [6] Thomas M. Cover and Joy A. Thomas. *Elements of Information Theory*. Wiley-Interscience, 2nd edition, 2006.

- [7] Marcos Curty, Maciej Lewenstein, and Norbert Lütkenhaus. Entanglement as a precondition for secure quantum key distribution. *Phys. Rev. Lett.*, 92:217903, May 2004.
- [8] Igor Devetak and Andreas Winter. Distillation of secret key and entanglement from quantum states. Proceedings of the Royal Society A: Mathematical, Physical and Engineering Sciences, 461(2053):207–235, 2005.
- [9] Eleni Diamanti, Hoi-Kwong Lo, Bing Qi, and Zhiliang Yuan. Practical challenges in quantum key distribution. *npj Quantum Information*, 2:16025, 2016.
- [10] R. Gallager. Low-density parity-check codes. IRE Transactions on Information Theory, 8(1):21–28, 1962.
- [11] N. Gisin, S. Fasel, B. Kraus, H. Zbinden, and G. Ribordy. Trojan-horse attacks on quantum-key-distribution systems. *Phys. Rev. A*, 73:022320, Feb 2006.
- [12] F. Grosshans, N. J. Cerf, J. Wenger, R. Tualle-Brouri, and Ph. Grangier. Virtual entanglement and reconciliation protocols for quantum cryptography with continuous variables. arXiv preprint quant-ph/0306141, 2003. Submitted to QIC. 18 pages, 6 figures.
- [13] Frédéric Grosshans and Philippe Grangier. Continuous variable quantum cryptography using coherent states. *Phys. Rev. Lett.*, 88:057902, Jan 2002.
- [14] B. Huttner, N. Imoto, N. Gisin, and T. Mor. Quantum cryptography with coherent states. *Phys. Rev. A*, 51:1863–1869, Mar 1995.
- [15] Robert Konig and Renato Renner. Sampling of min-entropy relative to quantum knowledge. *IEEE Transactions on Information Theory*, 57(7):4760–4787, 2011.
- [16] Sheng-Kai Liao, Wen-Qi Cai, Wei-Yue Liu, et al. Satellite-to-ground quantum key distribution. *Nature*, 549:43–47, 2017.
- [17] Hoi-Kwong Lo, Marcos Curty, and Bing Qi. Measurement-deviceindependent quantum key distribution. *Phys. Rev. Lett.*, 108:130503, Mar 2012.
- [18] Marco Lucamarini, Zhiliang L. Yuan, James F. Dynes, and Andrew J. Shields. Overcoming the rate-distance limit of quantum key distribution without quantum repeaters. *Nature*, 557:400–403, 2018.
- [19] Lars Lydersen, Carlos Wiechers, Christoffer Wittmann, Dominique Elser, Johannes Skaar, and Vadim Makarov. Hacking commercial quantum cryptography systems by tailored bright illumination. *Nature Photonics*, 4:686– 689, 2010.

- [20] Jesus Martinez-Mateo, Christoph Pacher, Momtchil Peev, Alex Ciurana, and Vicente Martin. Demystifying the information reconciliation protocol cascade. *Quantum Information & Computation*, 15(5&6):453–477, 2015.
- [21] Alan Mink and Anastase Nakassis. Ldpc for qkd reconciliation. arXiv:1205.4977 [cs.CR], 2012.
- [22] Philip M. Pearle. Hidden-variable example based upon data rejection. *Phys. Rev. D*, 2:1418–1425, Oct 1970.
- [23] Stefano Pirandola, Riccardo Laurenza, Carlo Ottaviani, and Leonardo Banchi. Fundamental limits of repeaterless quantum communications. Nature Communications, 8:15043, 2017.
- [24] Renato Renner. Security of quantum key distribution. Doctoral thesis, ETH Zurich, Zürich, 2005.
- [25] Renato Renner and Robert König. Universally composable privacy amplification against quantum adversaries. In Joe Kilian, editor, *Theory of Cryptography*, pages 407–425, Berlin, Heidelberg, 2005. Springer Berlin Heidelberg.
- [26] Valerio Scarani and Christian Kurtsiefer. The black paper of quantum cryptography: Real implementation problems. *Theoretical Computer Science*, 560:27–32, 2014. Theoretical Aspects of Quantum Cryptography – celebrating 30 years of BB84.
- [27] R. J. Serfling. Probability inequalities for the sum in sampling without replacement. *The Annals of Statistics*, 2(1):39–48, 1974.
- [28] Xiang-Bin Wang. Beating the photon-number-splitting attack in practical quantum cryptography. *Phys. Rev. Lett.*, 94:230503, Jun 2005.
- [29] Henning Weier, Harald Krauss, Markus Rau, Martin Fürst, Sebastian Nauerth, and Harald Weinfurter. Quantum eavesdropping without interception: An attack exploiting the dead time of single-photon detectors. *New Journal of Physics*, 13:073024, 2011.
- [30] Mark M. Wilde. Quantum Information Theory. Cambridge University Press, Cambridge, 2nd edition, 2017.
- [31] Yi Zhao, Chi-Hang Fred Fung, Bing Qi, Christine Chen, and Hoi-Kwong Lo. Quantum hacking: Experimental demonstration of time-shift attack against practical quantum-key-distribution systems. *Phys. Rev. A*, 78:042333, Oct 2008.